Part 3

Responsibility for Functions
Responsibility for Functions

INTRODUCTION

The Local Authorities (Functions and Responsibilities) (England) Regulations 2000 ("the Functions Regulations") give effect to section 13 of the Local Government Act 2000 by specifying three kinds of functions:

(a) those functions which may (but need not) be the responsibility of the Cabinet (the "Local Choice Functions");

(b) those functions which must not be the responsibility of the Cabinet ("Council Functions");

(c) those functions which are to some extent the responsibility of the Cabinet (the "Shared Functions").

The following tables show how the Council will discharge functions in each of these categories. All other functions are to be the responsibility of the Cabinet.

This Part of the Constitution also contains:

(a) details of the appointment and functions of Proper and Designated Officers; and

(b) the Council's Scheme of Delegation specifying those functions which, by virtue of the adoption of this Constitution, are deemed to be delegated by the responsible body to Council staff.

RESPONSIBILITY FOR LOCAL CHOICE FUNCTIONS

<table>
<thead>
<tr>
<th>Functions (as set out in Schedule 2 to the Functions Regulations) (Functions which may (but need not be) the responsibility of the Cabinet)</th>
<th>Decision Making Body</th>
<th>Functions Delegated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any functions under a local Act</td>
<td>Council</td>
<td>Area Committees (where local issue)</td>
</tr>
<tr>
<td>Determination of appeals against Council decisions (except employment issues covered below)</td>
<td>Cabinet</td>
<td>Area Committees (where local issue)</td>
</tr>
<tr>
<td>Determination of appeals by employees of the Council in connection with dismissal, grievances and other issues arising in the course of their employment.</td>
<td>Council</td>
<td>Appeals Panel</td>
</tr>
<tr>
<td>Conduct of best value reviews</td>
<td>Cabinet</td>
<td></td>
</tr>
<tr>
<td>Functions relating to contaminated land</td>
<td>Cabinet</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Functions relating to the control of pollution or the management of air quality</td>
<td>Cabinet</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Functions relating to statutory nuisances pursuant to ss.79-80 Environmental Protection Nuisance Act 1990</td>
<td>Cabinet</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Application of Schedule 2 Noise and Statutory</td>
<td>Cabinet</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Functions (as set out in Schedule 2 to the Functions Regulations) (Functions which may (but need not be) the responsibility of the Cabinet)</td>
<td>Decision Making Body</td>
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<tr>
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<tr>
<td>Nuisance Act 1993</td>
<td></td>
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</tr>
<tr>
<td>Information requisition pursuant to s.330 Town and Country Planning Act 1990</td>
<td>Cabinet</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Information requisition pursuant to s.16 Local Government (Miscellaneous Provisions) Act 1976</td>
<td>Cabinet</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Appointment (and revocation) of individuals to other offices or bodies</td>
<td>Cabinet</td>
<td>Area Committees (where local issue)</td>
</tr>
<tr>
<td>Making of agreements for the execution of highway works</td>
<td>Council</td>
<td>Area Committees</td>
</tr>
</tbody>
</table>

**RESPONSIBILITY FOR COUNCIL FUNCTIONS**

<table>
<thead>
<tr>
<th>Functions (as set out in Schedule 1 to the Functions Regulations) (Functions not to be the responsibility of the Cabinet)</th>
<th>Decision Making Body</th>
<th>Functions Delegated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Functions in paragraph A relating to town and country planning and development</td>
<td>Council</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Functions in paragraph B relating to licensing and registration</td>
<td>Council</td>
<td>Admin Committee/Area Committees</td>
</tr>
<tr>
<td>Functions in paragraph C relating to health and safety at work</td>
<td>Council</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Functions in paragraph D relating to elections and parishes</td>
<td>Council</td>
<td>Admin Committee</td>
</tr>
<tr>
<td>Functions in paragraph E relating to name and status of areas and individuals</td>
<td>Council</td>
<td></td>
</tr>
<tr>
<td>Powers in paragraph F to make, amend, revoke or re-enact byelaws</td>
<td>Council</td>
<td>Area Committees (where local issue)</td>
</tr>
<tr>
<td>Power in paragraph G to promote or oppose local or personal bills</td>
<td>Council</td>
<td></td>
</tr>
<tr>
<td>Functions in paragraph H relating to pensions (currently a County Council function)</td>
<td>Council</td>
<td></td>
</tr>
<tr>
<td>Miscellaneous functions in paragraph I numbered 1-5 and 7 relating to footpaths, bridleways and highways</td>
<td>Council</td>
<td>Area Committees</td>
</tr>
<tr>
<td>Functions in paragraph I number 8 relating to financial matters</td>
<td>Council</td>
<td></td>
</tr>
<tr>
<td>Functions in paragraph I number 9 relating to sea fisheries</td>
<td>Council</td>
<td>Admin Committee</td>
</tr>
</tbody>
</table>
### Functions (as set out in Schedule 1 to the Functions Regulations) (Functions not to be the responsibility of the Cabinet)

<table>
<thead>
<tr>
<th>Miscellaneous functions in paragraph I numbered 10-12 relating to trees, hedgerows and limestone pavements</th>
<th>Council</th>
<th>Area Committees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Miscellaneous functions in paragraph I numbered 13 and 15 relating to standing orders</td>
<td>Council</td>
<td></td>
</tr>
<tr>
<td>Functions in paragraph I number 14 relating to the appointment of staff</td>
<td>Council</td>
<td>Operational Managers, Functional Leads, Chief Executive or Corporate Directors for staff below Operational Manager and Functional Lead</td>
</tr>
<tr>
<td>Functions in paragraph I number 16 relating to payments or benefits in cases of maladministration etc</td>
<td>Council</td>
<td>Committee responsible for relevant function (or Chief Executive, Chief Financial Officer and Monitoring Officer if a Cabinet function)</td>
</tr>
</tbody>
</table>

## RESPONSIBILITY FOR SHARED FUNCTIONS

### Functions (as set out in Schedule 3 to the Functions Regulations)

<table>
<thead>
<tr>
<th>Formulation of the Council's:</th>
<th>Responsible Body</th>
</tr>
</thead>
<tbody>
<tr>
<td>- To coordinate the production of the Crime and Disorder Reduction Strategy</td>
<td>The Cabinet will be responsible for preparing the draft Plans/Strategies and submitting them to the Council.</td>
</tr>
<tr>
<td>- Plan and Strategies which together comprise the Development Plan</td>
<td>The Council will be responsible for approving and adopting the final Plans/Strategies</td>
</tr>
</tbody>
</table>

## OTHER FUNCTIONS EXPRESSLY DELEGATED BY COUNCIL

<table>
<thead>
<tr>
<th>Legislation</th>
<th>Description</th>
<th>Body/Committee function delegated to</th>
<th>Date of delegation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Localism Act 2011 - Part 5 Chapter 2</td>
<td>Community Right to Challenge</td>
<td>Cabinet</td>
<td>18 October 2012</td>
</tr>
</tbody>
</table>
APPOINTMENT OF PROPER AND DESIGNATED OFFICERS

For the purposes of relevant legislation the Council has made the following appointments for the duties or functions shown in the Appendix following this page.
### PART 3 (RESPONSIBILITY FOR FUNCTIONS)
#### APPENDIX 1
#### APPOINTMENT OF PROPER AND DESIGNATED OFFICERS

<table>
<thead>
<tr>
<th>Section of Act</th>
<th>Description of the responsibility involving the appointment of an officer of the District Council</th>
<th>The officer of the Council appointed the proper officer for the duty or function referred to</th>
</tr>
</thead>
</table>

**LOCAL GOVERNMENT ACT, 1972**

<p>| 13(3) | The Officer to act as Parish Trustee in a parish which does not have a Parish Council. | Monitoring Officer |
| 83(1) | The Officer before whom a person elected to the Office of Chair, Vice-Chair or Councillor of the District shall make a declaration of acceptance of office in a form prescribed by rules under s.42 of the Act and to whom such declaration shall be delivered. | Chief Executive or Monitoring Officer |
| 84 | The Officer to whom a person elected to any office under the Act may at any time give written notice of his resignation from that office. | Chief Executive |
| 89(1) | The Officer to receive notice in writing given by two local government electors for the District of a casual vacancy occurring in the office of Councillor. | Chief Executive |
| 96(1) | The Officer to whom a member of the Council shall give written notice to the effect that he or his spouse is a member or in the employment of a specified company or other body or that he or his spouse is a partner or in the employment of a specified person, or that he or his spouse is the tenant of any premises owned by the Council. | Monitoring Officer |
| 96(2) | The Officer to record in a book to be kept for the purpose particulars of any disclosure made under s.94 of the Act and of any notice given under S.96(1) of the Act. | Monitoring Officer |
| 100B,C,D &amp; F | The officer responsible for providing access to agendas, minutes, reports and background documents in respect of meetings of the Authority. | Head of Performance and Governance |</p>
<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
<th>Officer</th>
</tr>
</thead>
<tbody>
<tr>
<td>115(2)</td>
<td>The Officer to whom all money due from every officer employed by the Council shall be paid.</td>
<td>Chief Financial Officer</td>
</tr>
<tr>
<td>146</td>
<td>The Officer to make any statutory declaration in connection with the transfer of securities.</td>
<td>Chief Financial Officer</td>
</tr>
<tr>
<td>151</td>
<td>The Officer who shall be responsible for the proper administration of the Council's financial affairs.</td>
<td>Chief Financial Officer</td>
</tr>
<tr>
<td>191(2)</td>
<td>The Officer to appoint a person to assist in examining, ascertaining and marking out the reputed boundaries of the District for the purposes of the Ordnance Survey Act 1841.</td>
<td>Monitoring Officer</td>
</tr>
<tr>
<td>204(3)</td>
<td>The Officer to receive notices of applications for Justices' Licences under Schedule 2 to the Licensing Act, 1964.</td>
<td>Head of Legal Services</td>
</tr>
<tr>
<td>210(6) &amp; (7)</td>
<td>The Officer in whom shall vest the powers with respect to Charities carried out by officers of the &quot;old&quot; local authority where there is no corresponding officer in the new authority.</td>
<td>Monitoring Officer</td>
</tr>
<tr>
<td>s.212</td>
<td>The Officer to act as local registrar for local land charges</td>
<td>Head of Housing and Development</td>
</tr>
<tr>
<td>225(1)</td>
<td>The Officer to receive and retain a document of any description deposited pursuant to the Standing Orders of either House of Parliament or to any enactment or instrument.</td>
<td>Monitoring Officer</td>
</tr>
<tr>
<td>229(5)</td>
<td>The Officer to certify a photographic copy of a document in the custody of or under the control of the Council or of a document which has been destroyed while in the custody of the Council, or of any part of any such document.</td>
<td>Head of Legal Services</td>
</tr>
<tr>
<td>234(1)</td>
<td>The Officer to sign any notice, order or other document made or issued by the Council.</td>
<td>Head of Legal Services</td>
</tr>
<tr>
<td>236(1) &amp; (9)</td>
<td>The Officer to send to the Council and each parish council a copy of every byelaw made by the Council and confirmed.</td>
<td>Head of Legal Services</td>
</tr>
<tr>
<td>238</td>
<td>The Officer to certify a printed copy of a byelaw made by the Council.</td>
<td>Head of Legal Services</td>
</tr>
<tr>
<td>248(2)</td>
<td>The Officer to keep the roll of freedom of the town.</td>
<td>Head of Performance and Governance</td>
</tr>
<tr>
<td>Sched 12 para 4(2)(b)</td>
<td>The Officer to sign the summons to attend meetings of the Council and specifying the business proposed to be transacted thereat.</td>
<td>Head of Performance and Governance</td>
</tr>
<tr>
<td>----------------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>4(3)</td>
<td>The Officer to receive the notice in writing from a member of the Council giving notice that such member desires summonses to attend meetings of the Council to be sent to him at some address specified in the notice other than his place of residence.</td>
<td>Head of Performance and Governance</td>
</tr>
<tr>
<td>Sched 14 para 25(7)</td>
<td>The Officer to certify in writing a true copy of the resolution of the Council applying or disapplying various provisions of the Public Health Acts 1875 to 1925.</td>
<td>Head of Legal Services</td>
</tr>
<tr>
<td>Sched 16</td>
<td>The Officer to receive and deposit lists of buildings of special architectural or historic interest.</td>
<td>Head of Legal Services</td>
</tr>
</tbody>
</table>

**LOCAL GOVERNMENT ACT, 1974**

| 30(5)                | The Officer to give public notice of the availability of reports of the Local Commissioner for Administration. | Chief Executive |

**PUBLIC HEALTH (CONTROL OF DISEASE) ACT 1984**

<table>
<thead>
<tr>
<th>74 (as amended)</th>
<th>Consultant in Communicable Disease Control / Consultant in Health Protection at Public Health England South East, to act as Proper Officer for the following purposes</th>
<th>Head of Economy and Business Liaison</th>
</tr>
</thead>
</table>

**THE HEALTH PROTECTION (NOTIFICATION) REGULATIONS 2010**

<table>
<thead>
<tr>
<th>2,3 and 6</th>
<th>Receipt and disclosure of notification of suspected notifiable disease, infection or contamination in patients and dead persons.</th>
<th>Head of Economy and Business Liaison</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Public Health (Control of Disease) Act 1984Section 48 as amended by Health and Social Care Act 2008</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Preparation of certificate to Justice of Peace for removal of body to mortuary and for burial within a prescribed time or immediately.</td>
<td></td>
</tr>
</tbody>
</table>
LOCAL GOVERNMENT (MISCELLANEOUS PROVISIONS ACT 1976

41 The Officer for signing certificates as evidence of resolutions and minutes of proceedings, etc. Monitoring Officer

REPRESENTATION OF THE PEOPLE ACT 1983

8 The Officer to act as Electoral Registration Officer. Monitoring Officer

35 The Officer to act as Returning Officer for elections of Borough Councillors and Parish Councillors. Monitoring Officer

THE LOCAL ELECTION (PRINCIPAL AREAS) RULES 1986

The Officer of the Borough Council to act under the Rules for the purposes of elections, including the receipt of all documents and returns. Monitoring Officer

AND COMMUNITIES) RULES 1986

The Officer of the Borough Council to act under the Rules for the purposes of parish elections, including the receipt of all documents and returns. Monitoring Officer

PARISH AND COMMUNITY MEETINGS (POLLS) RULES 1987

The Officer to act as Returning Officer. Monitoring Officer

HOUSING

The Officer to sign certificates submitted in the Council's role as lending authority for any housing association schemes. Head of Legal Services

HEALTH & SAFETY AT WORK ACT ETC 1974

39 Power to institute proceedings for breaches of provisions under the Act. Economy Specialists designated by the Council as Health & Safety Officers
LOCAL GOVERNMENT AND HOUSING ACT 1989

4 | The Officer to act as head of the paid service. | Chief Executive

5 | The Officer to act as the monitoring officer. | Monitoring Officer

LOCAL GOVERNMENT (COMMITTEES AND POLITICAL GROUPS) REGULATIONS 1990 AND 1991

The Officer to accept political group notices. | Chief Executive

The Officer to receive notices of members' pecuniary interests. | Monitoring Officer

BUILDING ACT 1984

S.78 | The Officer to deal with dangerous buildings or structures in emergencies. | Building Control Partnership Manager or Building Control Surveyor

GENERAL

Any reference in any enactment passed before or during the 1971/72 session of Parliament other than the Local Government Act 1972 or in any instrument made before 26th October 1972 to the Town Clerk of a Borough which, by virtue of any provisions of the said Act was to be construed as reference to the Proper Officer of the Council. | Monitoring Officer

Any reference in the Local Government Act 1972 to the Proper Officer of the Council except where one has been designated above. | Monitoring Officer

Any reference in any enactment passed after the 1971/72 session of Parliament or to be passed to the Proper Officer of the Council unless or until a specific appointment of another Officer has been made by the Council. | Monitoring Officer
LOCALISM ACT 2011

The proper officer to receive written requests for and grant dispensations

Monitoring Officer (with the ability to refer to the Administration Committee if necessary)

THE LOCAL AUTHORITIES (EXECUTIVE ARRANGEMENTS) (MEETINGS AND ACCESS TO INFORMATION) (ENGLAND) REGULATIONS 2012

The Proper Officer

Monitoring Officer
SCHEME OF DELEGATION TO STAFF

PART 3 (RESPONSIBILITY FOR FUNCTIONS)

APPENDIX 2

SCHEME OF DELEGATION TO STAFF AND MEMBERS

A - GENERAL SCHEME OF DELEGATION

Subject to the following conditions and to any special conditions which may in future be applied in respect of particular matters, the Chief Executive, Corporate Directors, Operational Managers and Functional Leads, or equivalent, are authorised to make such decisions and to initiate such action as they shall deem necessary in the interest of the efficient running of their teams or the services which they administer. In the absence of the Chief Executive, or at any time when they are unavailable, any or all of their powers shall be exercisable by any one of the Corporate Directors.

Any exercise of these delegated powers:-

(a) Shall comply with the Council Constitution.

(b) Shall not authorise expenditure except in accordance with approved estimates, and where loan sanction or other approval is required shall not precede the loan sanction or approval.

(c) Shall not involve a new policy or extend an existing policy of the Council.

(d) Shall not depart from any approved scale, or scheme, or any direction of the Cabinet or appropriate Committee.

(e) Shall be the subject of prior consultation with the Chief Executive and the appropriate professional or technical officers of the Council in any case involving considerations not wholly within the province of the Chief Officer concerned.

In the absence of an Operational Manager or Functional Lead, or Service Managers, powers delegated to them by this Scheme may be exercised by the next above senior officer, provided that such delegation shall not be exercised save in the absence from the Council Offices of the relevant Operational Manager, Functional Lead, or equivalent.

The question of whether an Operational Manager, Functional Lead, or equivalent, or any other officer has exercised a power shall not be of any concern nor prejudice in any way any person dealing with the Council.
It is recognised that it is not possible for the Chief Executive, Corporate Directors and Operational Managers, Functional Lead or equivalent, (nor the next Senior Officers in the absence of the Operational Manager / Functional Lead to perform personally all the diverse and various matters delegated to them by this scheme. Therefore such senior officers may make internal arrangements under which other officers will be authorised to carry out the functions delegated to the named officers in this Scheme. Such arrangements may be made formally in writing but shall be equally valid if made orally and informally. Such arrangements shall provide generally that any formal documents which need to be issued are issued and signed in the name of the officer to whom the delegation is made by this Scheme. Such signature may be a facsimile in accordance with s234(2) of the Local Government Act 1972.

Officers must have due regard to the document relating to Management Responsibilities and Delegation.

The statutory provisions mentioned in this Appendix shall be deemed to include any statutory amendment, modification or re-enactment of any of those provisions.

B - SPECIFIC STAFF DELEGATIONS

For the avoidance of doubt and without prejudice to the exercise of the powers delegated above in part A the following specific functions are expressly delegated to the following Operational Managers, Functional Leads, or equivalent, except where under any Council approved Scheme of Management Devolution, whether a Pilot Project or not, powers of management have been devolved from an Operational Manager, Functional Lead, or equivalent, to the next senior officer or other officer, when such officer shall have full power in place of the relevant Operational Manager or Functional Lead. Any conditions applying to the delegation are shown in italics in each case:-

CHIEF EXECUTIVE OR CORPORATE DIRECTORS

1. Authority to act on behalf of any other manager having delegated authority under the scheme.

2. Power to act in an emergency.

3. Power to act in matters of urgency:
   
   (a) Cabinet level action
   
   *In consultation with the relevant Cabinet Member (or, in their absence, the Leader)*
   
   (b) LAC or other level action
   
   *In consultation with Cabinet Member of relevant Committee (or Chair of Committee where statute precludes decision by the Cabinet).*

4. The appointment of staff below the level of Operational Manager and Functional Lead.
5. Exploring and embracing partnership working approaches wherever possible and appropriate.

**CHIEF EXECUTIVE ONLY**

6. The responsibility for risk management in liaison with the Chief Financial Officer.

7. Sign jointly with the Leader of the Council the Annual Governance Statement

**CHIEF FINANCIAL OFFICER**


   *Subject to periodic report to the Cabinet*

9. The agreement of precept payment dates for County Council precept.

10. The premature re-payment of mortgage loans in cases where applications are received from the personal representatives of deceased investors for such repayment.

11. The administration on the Council’s Treasury Management function in accordance with the annual investment borrowing strategy.

   *Subject to report to the Cabinet*

12. The writing off:-

   (a) of debts not exceeding £5000 in any case where the Chief Financial Officer is satisfied that there are sound reasons for doing so; and

   (b) of debts for whatever amount when compulsory liquidation or bankruptcy proceedings are commenced and where voluntary arrangements with creditors are started by or in relation to insolvent companies or individuals.

13. Responsibility for maintaining an adequate and effective system of internal audit.

14. Maintain and develop the Council’s risk management policy in liaison with the Chief Executive.

**OPERATIONAL MANAGERS AND FUNCTIONAL LEADS**

1. The appointment within the establishment of their respective areas of responsibility of staff below Operational Manager or Functional Lead level.

2. The appointment of temporary staff as and when required for the effective operation of the authority subject to availability of finance from the team’s staffing budget.
3. Before taking any decision in discharge of their responsibilities, Operational Managers or Functional Leads should consider relevant risk management issues.

4. The authorisation of car allowances, loans or leases within Council policy.

5. The authorisation of telephone allowances.

6. The attendance of officers on full or part-time courses of study (including correspondence courses), conferences and seminars.

7. The authorisation of planned overtime.

8. The authorisation of travelling and subsistence allowances.

9. The authorisation of unpaid leave up to three months.

10. Variations in establishments involving the replacement of individual posts by lower graded posts.

11. Approval of changes to designations of posts below Operational Manager or Functional Lead or equivalent.

12. To approve up to 18 days paid leave of absence a year for employees undertaking public duties specified in the Employment Protection Act.

13. To grant compassionate leave as appropriate to the circumstances.

14. Exploring and embracing partnership working approaches wherever possible and appropriate.

Note: It is expected that Operational Managers will consult appropriate Functional Leads where necessary

COMMERCIAL BUSINESS MANAGER OR ARTS AND CULTURE MANAGER OR COUNTRY PARKS MANAGER OR CORPORATE DIRECTOR

1. The management and letting for leisure purposes of The Point Dance and Arts Centre and The Berry Theatre in accordance with the policy laid down by the Council.

2. The granting or new leases or licenses in the Country Parks or variation to existing leases and licenses.

3. The granting or new leases or licenses in The Point or Berry theatres or variation to existing leases and licenses.
HEAD OF HOUSING AND DEVELOPMENT

1. The signing of notices conveying decisions of the Council in respect of applications for planning permission, discharge of planning conditions, the display of advertisements, listed building and conservation area consent and applications for felling, topping, lopping etc of trees covered by Tree Preservation Orders.

2. The determination of planning applications (excluding those relating to trees which are dealt with below) except:-

   (a) Applications which, in the opinion of the Lead Specialist Housing and Development have a significant impact on the environment, or are controversial or potentially controversial;

   (b) Applications which three members from the Local Area Committee require to be submitted to Committee within 21 calendar days of that application appearing on the weekly list of planning applications received;

   (c) Any application which the Lead Specialist Housing and Development wishes for any reason to submit to the Committee;

   (d) Any application where a Member has declared or is shown to have a disclosable pecuniary interest

   (e) Any application where a member of staff would have a personal interest in the outcome of the decision.

   (f) Any applications submitted by former planners or technical staff graded 10 or above within one year of leaving the Council's service or from applicants or agents employing such former members of staff.

3. The determination of observations on 'County matter' planning applications except those within 2(a) - (e) above.

4. The determination of observations to neighbouring Planning Authorities in respect of planning applications within their area on which the Borough Council has been formally consulted.

5. The determination of applications for consent to fell, lop or top any trees covered by a tree preservation order except where:

   (a) three members from the Local Area Committee require the matter to be submitted to Committee within 21 calendar days of that application appearing on the weekly list of planning applications received:

   (b) the matter, in the opinion of the Lead Specialist Housing and Development, is controversial or potentially controversial; or

   (c) Any application where a Member has declared or is shown to have a disclosable pecuniary interest
(d) Any application where a member of staff would have a personal interest in the outcome of the decision.

6. The proffering of observations on proposals for buildings accommodating apparatus for public undertakings.

7. Minor amendments to approved details.

8. Authority to determine prior-approval applications for telecommunications development where the LAC timetable precludes LAC consideration

9. Subject to consultation with the Legal Services Manager:
   (i) Authorise the issue of Enforcement Notices;
   (ii) Authorise the issue of Stop Notices;
   (iii) Authorise the issue of Breach of Conditions Notices;
   (iv) Authorise the issue of Section 215 Notices;
   (v) Authorise the issue of Tree Replacement Notices;
   (vi) Authorise the issue of Planning Contravention Notices;
   (vii) Authorise the issue of Section 3 Listed Building Preservation Notices;
   (viii) Authorise the issue of Section 38 Listed Building Enforcement Notices;
   (ix) Authorise the issue of Section 54 Listed Building Urgent Repairs Notices;
   (x) Authorise the issue of Section 48 Listed Building Repairs Notices;
   (xi) Authorise prosecution for failure to comply with requirements of i-x;
   (xii) Authorise prosecution for illegal advertising;
   (xiii) Authorise prosecution for unauthorised works to protected trees;
   (xiv) Authorise injunction and similar enforcement proceedings in the courts;
   (xv) Authorise prosecution and committal proceedings for breach of orders obtained under any of the foregoing;
   (xvi) Authorise any other enforcement or remedial action including direct action as permitted by statute
10. To refuse an application not otherwise delegated to him, where the applicant has delayed unreasonably provision of information needed to facilitate the determination of the application.

11. The exercise of power of entry, sampling and inspection given by any relevant legislation, including the authority to authorise anyone to effect such entry etc, subject to compliance with the limits of any enactment.

12. To determine complaints made by the owner/occupiers of domestic property adversely affected by evergreen hedges over two metres high (in the case of hedges not owned by the Council).

13. (i) To determine whether an environmental assessment and/or statement and/or similar appraisal is required under the Town and Country Planning (Environmental Impact Assessment) Regulations 2017 or any amended, re-enacted or equivalent legislation.

(ii) To decide whether to adopt a screening opinion under the Town and Country Planning (Environmental Impact Assessment) Regulations 2017 or any amended, re-enacted or equivalent legislation.

(iii) To give a scoping opinion under the Town and Country Planning (Environmental Impact Assessment) Regulations 2017 or any amended, re-enacted or equivalent legislation.

(iv) Determine whether an application should be accompanied by an Environmental Statement under the Town and Country Planning (Environmental Impact Assessment) Regulations 2017 or any amended, re-enacted or equivalent legislation.

14. To determine and/or decide and/or advise about whether or not any requirement prerequisite to the proper exercise of any of the above functions is fulfilled.

15. To perform or procure the performance of any duties or requirements which are necessary, desirable or otherwise ancillary to the proper discharge of any of the above functions.

16. Determination of the type of planning appeal and amendments to Council’s case during the course of appeal, subject to consultation as deemed appropriate with the chair of the relevant Local Area Committee and Legal Services Manager and representing the Council at appeal as necessary.

17. To carry out all functions and responsibilities associated with the Local Land Charges Act 1975, any subsequent legislation or regulations and the Council’s responsibilities for maintaining and operating the Register of Local Land Charges including, but not limited to, the setting of fees.

18. To determine applications for hazardous substances consent and related powers under section The Planning (Hazardous Substances) Act 1990
19. The administration of the Building Regulations and other related legislation to include:

(i) Hampshire Act 1980
(ii) Sustainable and Secure Buildings Act 2004
(iii) Safety at Sports Grounds Act 1975
(iv) Disability Discrimination Act 1995
(v) Licensing Act 2003
(vi) Local Govt (Misc Provisions) Act 1982
(vii) Clean Neighbourhoods and Environment Act 2005

20. Power to deal with dangerous structures.

21. The approval and extension for temporary periods not exceeding three years of buildings constructed of short-lived materials. The approval of grants for listed buildings in accordance with current policy.

22. The service of Hedgerow Retention Notices.

23. The exercise of power of entry, sampling and inspection given by any relevant legislation, including the authority to authorise anyone to effect such entry etc, subject to compliance with the limits of any enactment.

HEAD OF DIRECT SERVICES AND LOCAL OPERATIONS MANAGER AND
HEAD OF OPERATIONS - SPECIALIST SERVICES MANAGER

1. The enforcement of the following legislation, including the appointment of named officers or as inspectors, and the designation of powers that they may use, including issuing fixed penalty notices, directions and orders, serving notices and seizure.


3. The maintenance of individual grave spaces, the erection of headstones, memorials, inscriptions etc in the Council’s cemeteries and burial grounds.

4. The grant, surrender and repurchase of exclusive rights of burial in grave spaces.

5. The powers to make, modify, vary, and confirm, not to confirm or revoke a Tree Preservation Order except where:

(a) three members from the Local Area Committee require the matter to be submitted to Committee;
(b) the matter, in the opinion of the Direct Services Manager, is controversial or potentially controversial; or

(c) Any application where a Member has declared or is shown to have a disclosable pecuniary interest

(d) Any application where a member of staff would have a personal interest in the outcome of the decision.

6. The exercise of power of entry, sampling and inspection given by any relevant legislation, including the authority to authorise anyone to effect such entry etc, subject to compliance with the limits of any enactment.

7. The issue and revocation of parking permits for residents, businesses, visitors and carers for any resident parking scheme in the Borough within the policy of the Council.

HEAD OF HR

1. Implementation of amendments to car mileage rates in accordance with nationally agreed scales.

   In conjunction with the Chief Financial Officer.

2. Implementation of nationally and locally agreed amendments to salaries, rates of pay and conditions of service.

   In conjunction with the Chief Financial Officer (in terms of budgetary considerations) and subject to a report to the Cabinet (other than for minor conditions of service changes).

HEAD OF LEGAL SERVICES

1. The institution of any legal proceedings in any criminal or civil courts or tribunals wherever this is considered appropriate and the defence of any proceedings brought against the Council, together with authority to take any necessary incidental steps connected therewith.

2. To instigate, defend, participate in, settle or withdraw a prosecution or other legal proceedings where it is in the interest of the Council under section 222 of the Local Government Act 1972 and where the prosecution or other legal proceedings can be seen as assisting in fulfilling the Council's functions.

3. The service of notice to quit where required to protect the Council's interest.

   After consultation with the Lead Asset Manager

4. The signing of all documents and notices on behalf of the Council in relation to any formal or legal proceedings.
5. The authorisation of persons:

   (a) to prosecute or defend on behalf of the Borough Council and appear in
       proceedings before the Magistrates Court pursuant to Section 223 of the
       Local Government Act 1972;

   (b) to appear in the County Court on behalf of the Borough Council in actions
       for the recovery of possessions of housing and commercial premises
       belonging to the Borough Council pursuant to Section 60 of the County
       Court Act 1984; and in actions for the recovery of debts and arbitration
       hearings;

   (c) to appear on behalf of the Borough Council at Local Inquiries arising
       under the Town and Country Planning Acts and legislation relating to
       compulsory purchase.

6. The execution of agreements for minor wayleaves over Council properties for
   drainage, gas, electricity and telephone facilities.

7. The burial of deceased persons for whom no other arrangements have been
   made.

8. The service of notices under Land Drainage legislation.

9. The execution of deeds under the Power of Attorney contained in the Highways
   Agency Agreement with the Hampshire County Council.

10. Authority to affix the Common Seal of the Council to mortgage discharge deeds
    and other miscellaneous legal documents without the need for a formal
    resolution of the Council.

11. Subject to the restrictions shown at the end of this item, the exercise of
    licensing functions, including the issue, refusal, suspension or revocation of
    licences, permits and registrations as follows:-

    (a) Permits for amusement with prizes in accordance with Council policy.

    (b) Registration of organisations for the conduct of small lotteries.

    (c) Game dealers' licences.

    (d) Licences for house to house collections and permits for street collections.

    (e) Late night refreshment house licences.

    (f) Registration of scrap metal dealers.

    (g) Licences for the use of premises for cinematographic purposes.

    (h) Theatre licences.

(j) Licences for places of entertainment.

(k) Applications for registration of door supervisors for places of public entertainment and public houses.

(l) The determination of applications for track betting licences, unless particularly controversial in which case this would be dealt with by the licensing panel of the relevant local area committee.

(m) The determination of applications under the powers of the Licensing Act 2003 and the Gambling Act 2005.

These matters are dealt with on the Council’s behalf by Southampton City Council under a partnership agreement.

Restrictions on delegation
Subject to:
For items (a) to (m)

(i) consultation with the Chair of the relevant committee in respect of matters not within Council policy

(ii) consultation with the Chair of the relevant committee on any proposal to refuse, suspend or revoke a licence (for reasons other than the fitness of a vehicle, the non-production of documents or in the interest of public safety under section 61 (2B) Local Government (Miscellaneous Provisions) Act 1976 in the case of hackney carriage or private hire licences (item(i)))

Additionally, for items (g), (h) and (j):

12. After Consultation with the Head of Housing and Development determination of applications under sections 191 (as amended) and 192 of the Town and Country Planning Act 1990 (certificates of lawful use or development or proposed use or development) and section 64 (applications to determine whether planning permission is required).


14. The exercise of power of entry, sampling and inspection given by any relevant legislation, including the authority to authorise anyone to effect such entry etc, subject to compliance with the limits of any enactment.

15. To enter into agreements in respect of parking adjudication.

16. To authorise officers (from this Council or its local authority partners or agents) to issue cautions under the Licensing Act 2003 and the Gambling Act 2005.
17. To certificate films, in consultation with the Chair or Vice Chair of the Licensing Committee.

18. To carry out the duties and responsibilities of the Data Protection Officer

**MONITORING OFFICER**

1. To act as the proper officer for the purposes of the access to information rules, including determination, in consultation with the Leader of the Council, of a response to any representations received about why a Cabinet meeting, or part of a Cabinet meeting, should be held in public following publication of a notice to meet in private.

2. Power to grant dispensations to Members in accordance with section 33 of the Localism Act 2011

**HEAD OF HEALTH AND WELLBEING**

1. The exercise of powers and duties under homelessness legislation.

2. Liaison with Health Authorities in respect of the provision of medical advice to the Local Authority on housing matters.

3. Liaison with external agencies such as the Cabinet Office, Social Services, Health Authorities, housing associations, etc on matters relevant to the provision, repair, improvement, management, etc of social housing.

4. Payments to Registered Social Landlords in relation to mortgage rescue.

5. The determination of applications for home loss, disturbance and removal in accordance with statutory requirements.

6. The determination of applications for disabled facilities grants, other grants and loans in accordance with the statutory requirements and within the limits of Council policy.

7. The determination of applications for continuation or restoration of services under Section 33 of the Local Government (Miscellaneous Provisions) Act 1976.

8. To submit applications to the Homes and Communities Agency to waive conditions related to grant funded shared ownership housing in Designated Protection Areas.

9. The allocation of grants to charitable organisations.

10. The letting of leisure and sports facilities in accordance with the policy laid down by the Council.

11. The letting of accommodation at sports pavilions in accordance with the policy laid down by Council
12. To deal with all matters relating to the administration of Housing Benefit, including the determination and payment of benefit, the exercising of discretionary powers, all decisions relating to the recovery or non-recovery of recoverable overpayments of this benefit, and determining appropriate sanctions to administer in case of fraud in accordance with the Social Security Contributions and Benefits Act 1992 and the Social Security Administration Act 1992 as amended by Schedule 9 of the Local Government Finance Act 1992 and any regulations or any subsequent re-enactment or statutory provision.

13. To determine and pay claims for Discretionary Housing Payments in accordance with the scheme policy statement

14. The authorisation of persons to appear in Magistrates’ Court on behalf of the Council for the recovery of benefit overpayments and to prove any such sums due to the Council, pursuant to Section 223 of the Local Government Act 1972.

15. The completion and signing off, of all financial and statistical returns for Benefits, as required by Government, in consultation with the Chief Financial Officer.

16. To deal with all matters relating to the administration of the Council Tax Reduction Scheme (Council Tax Support) including the determination of appropriate sanctions.

17. To authorise the write-off of debts relating to Housing Benefit for debts below £5000.

HEAD OF ECONOMY AND BUSINESS LIAISON

1. The demand, collection and recovery of Council Tax, and Business Rate.

2. The grant of mandatory rate relief under section 43(6) of the Local Government Finance Act 1988.

3. The approval of applications for discretionary rate relief (under sections 44A and 47 of the Local Government Finance Act 1988) and reduction of remission of liability (under section 49 of the Local Government Finance Act 1988).

4. The authorisation of persons to appear in Magistrates’ Court on behalf of the Council for the recovery of unpaid Council Tax and Non-Domestic Rates and to prove any such sums due to the Council, pursuant to Section 223 of the Local Government Act 1972.

5. The completion and signing off, of all financial and statistical returns for Council Tax, National Non-Domestic Rates as required by Government, in consultation with the Chief Financial Officer.

6. To decide whether to apply penalties for non-disclosure or late disclosure of change of circumstances for Council Tax in accordance with prescribed regulations
7. To authorise the write-off of debts relating to Council Tax and Business Rates for debts below £5,000.

**HEAD OF ENVIRONMENT**

1. The numbering and re-numbering of houses.

2. The closure of the use of a highway by vehicles for a period not exceeding three months in cases of emergency.

   *Subject to reporting to the relevant Ward members.*

3. Authority to make unopposed Traffic Regulation Orders.

4. The approval of temporary closure of roads.

   *Subject to notification of such approvals to the Group Spokespersons and Ward Members.*

5. The issue of hazardous substances contravention notices.

6. The implementation of disabled drivers bays, subject to no objections being received through the statutory process.

7. The power to enter land, under Sections 14, 26 and 64 of the Land Drainage Act 1991, to enable officers to maintain, improve or construct watercourses or drains as defined in the legislation.

**SPECIALIST SERVICES MANAGER TO DELEGATE TO THE RELEVANT FUNCTIONAL LEAD AND LOCAL OPERATIONS MANAGER**

1. The enforcement of any relevant legislation and the appointment of named officers or categories of officers as authorised officers or as inspectors (including the power to designate the powers they may exercise) under any relevant legislation including:

   - Dogs Act 1871
   - Public Health Act 1875
   - Open Spaces Act 1906
   - Public Health Acts Amendment Act 1907
   - Public Health Act 1936
   - Prevention of Damage by Pests Act 1949
   - Pet Animals Act 1951
   - Caravan Sites and Control of Development Act 1960
   - Public Health Act 1961
   - Animal Boarding Establishments Act 1963
   - Riding Establishments Act 1964 and 1970
   - Local Government Act 1972
   - European Communities Act 1972
     - Regulation (EC) 852/2004
     - Regulation (EC) 853/2004
o Regulation (EC) 854/2004
• The General Food Regulations 2004
• The Food Hygiene (England) Regulations 2006
• Official Control (Animals Food and Feed) (England) Regulations 2006
• Official Feed and Food Controls (England) Regulations 2009
• Trade in Animals and Related Products Regulations 2011
• Health and Safety at Work Act 1974
• Control of Pollution Act 1974
• Dangerous Wild Animals Act 1976
• Refuse (Disposal) Amenity Act 1978
• Animal Health Act 1981
• Public Health (Control of Disease) Act 1984
• Zoo Licensing Act 1981
• Building Act 1984
• Housing Act 1985
• Hampshire Act 1983
• Local Government and Housing Act 1989
• Environmental Protection Act 1990
• Food Safety Act 1990
• Water Industry Act 1991
• Dangerous Dogs Act 1991
• Control of Dogs Order 1992
• Clean Air Act 1993
• Noise and Statutory Nuisance Act 1993
• Sunday Trading Act 1994
• Environment Act 1995
• Noise Act 1996
• Housing Grants, Construction and Regeneration Act 1996
• Pollution Prevention and Control Act 1999
• Vehicle (Crime) Act 2001
• The Regulatory Reform (Housing Assistance) (England and Wales) Order 2002
• Licensing Act 2003
• Housing Act 2004
• Clean Neighbourhoods and Environment Act 2005
• Health Act 2006
• Sunbeds (Regulation) Act 2010
• Mobile Homes Act 2013
• The Anti-Social Behaviour, Crime and Policing Act 2014

and regulations made thereunder.

2. The service of statutory notices under the following legislation:

• the Public Health Acts,
• the Food Acts and regulations,
• the Health and Safety at Work etc Act 1974,
• the Control of Pollution Act 1974,
• the Environmental Protection Act 1990,
• the Refuse (Disposal) Amenity Act 1978,
• the various Local Government (Miscellaneous Provisions) Acts,
• the Prevention of Damage by Pests Act 1949,
• the Clean Air Acts,
  • the Housing Act 2004,
  • the Clean Neighbourhoods and Environment Act 2005,
• the Health Act 2006,
• the Mobile Homes Act 2013,
• the Building Act 1984,
• the Pollution Prevention and Control Act 1999,
• the Environment Act 1995
• the Anti-Social Behaviour, Crime and Policing Act 2014

and the determination of any matter, e.g. satisfaction/opinion which must precede the service of such notices.

3. The execution of works required by statutory notice in default of compliance by the owner or occupier or person responsible.

4. Authorisations, variations and revocations of such authorisations for prescribed processes under the Environmental Protection Act 1990.

5. The exercise of all licensing, registration and approval functions including revocations, refusals, transfers and variations in connection with (subject to consultation with the appropriate Cabinet Member in respect of matters not within established Council policy):

  • pet animals, riding, animal boarding and dog breeding establishments, zoos and dangerous wild animals subject to a veterinary surgeon’s report where appropriate.
  • performing animal exhibition trainers
  • food premises;
  • egg producers;
  • shops or places for Sunday trading;
  • pleasure boats and boatmen’s licences;
  • caravan sites;
  • meat preparations and meat products;
  • skin-piercers;
  • rag flock and other filling materials;
  • street trading;
  • certain types of industrial activity.


7. The appointment and revocation of Proper Officers for public health functions.

9. The maintenance of Public Registers in respect of:
   - Food Premises
   - Health and Safety notices affecting the public
   - Cooling Towers
   - Caravan Sites
   - Prescribed Processes
   - Contaminated Land
   - Radioactive Substances
   - Found Stray Dogs

10. The execution of works required by statutory notice in default of compliance by the owner or occupier or person responsible.

11. The exercise of power of entry, sampling and inspection given by any relevant legislation, including the authority to authorise anyone to effect such entry etc, subject to compliance with the limits of any enactment.

12. The determination of applications for continuation or restoration of services under Section 33 of the Local Government (Miscellaneous Provisions) Act 1976.

LEAD ASSET MANAGER

1. The approval of:

   (a) All non-contentious leases, licences, tenancy, rent reviews for terms up to 125 years at rentals up to £200,000 a year exclusive, where the principle of letting has been approved by the Cabinet or relevant Committee and for rents over £200,000 per annum, where the change in rental is less than 10%, following consultation with the relevant Cabinet Member,

   (b) The purchase of land required for highway schemes under the Highways Agency Agreement up to £50,000 in value subject to approval by the Cabinet or relevant Committee and the Hampshire County Council, and to the availability of finance.

   (c) The purchase of land up to £1,000,000 in value, subject to Cabinet or Committee approval having been obtained for the scheme and the availability of finance.

   (d) Disturbance payments up to £50,000 subject to the scheme having been approved by the Cabinet or appropriate Committee.

   (e) Home loss payments complying with the provisions of the Land Compensation Act 1973 of 10% of the market value of the property, subject to the statutory minimum and maximum payments current at the time.

   (f) The granting of easements up to £1,000,000 in value, where approved by Cabinet or relevant Local Area Committee

   (g) The sale of residential freehold reversions to the leaseholders.
(h) The sale of commercial freehold reversions to the leaseholders up to £1,000,000 where approved by Cabinet.

(i) To place property on the market in accordance with Corporate Standards with a view to disposal.

(j) To appoint external agents or consultants to provide specialist services in respect of the Council’s property portfolio and land, subject to compliance with Financial Procedure Rules and Contract Procedure Rules.

(k) To approve, in consultation with the Chief Financial Officer, claims for dilapidations in respect of leasehold interests granted by the Council or granted to the Council, provided the consideration does not exceed £1,000,000, and provided sufficient finance is available.

(l) To authorise, in consultation with the Chief Financial Officer, lease surrenders and/or renewals to Scout or Guide Groups or other community or charitable organisations which include any underlet for any purpose authorised by Section 1 Localism Act at rents less than best consideration where it is proposes to underlet to a nursery provider or similar community use.

(m) To approve lettings or lease renewals at less than best consideration where the principle of letting to the organisation has already been approved (by virtue of a previous letting or other appropriate means) or where the Council is obliged to renew a letting by virtue of Landlord or Tenant legislation.

(n) Incidental approvals and consents under conveyances, transfers, leases and temporary lettings, to include variations, assignments, surrenders, sub-lettings and Landlords’ consent when the consideration for the variation does not exceed £100,000 per annum.

(o) The granting of approvals and consents under conveyances, leases, agreements and temporary lettings of properties purchased for redevelopment.

(p) To manage the Council’s investment, social and general purpose property and land assets

(q) The acceptance of dedications of land for the improvement or construction of highways on payment of surveyors’ fees and any necessary accommodation works

(r) The conduct of negotiations for the purchase of properties in mortgage hardship cases and the making of any necessary tenancy arrangements.

(s) Non contentious sales up to £1,000,000 where approved in principle by Cabinet
(t) Free dedication to the highway authority of small areas of Borough-owned land required for highway purposes in connection with schemes approved by the holding Committee.

(u) The submitting of appeals and agreeing proposed assessments in respect of the revaluation of non-domestic property, including reference to the Upper Chamber in cases of ongoing appeals.

(v) The authorising of the service of notices and counter-notices under Part II of the Landlord and Tenant Act 1954 and to determine the contents thereof.

(w) The negotiation and agreement of terms for the imposition, modification and conveyances and imposed on property titles.

(x) The taking of any necessary steps against encroachments on Council owned or managed land.

2. The acquisition and sale of mobile homes at Grange Park at market value and the development of plots at Grange Park.

3. The approval of assignment and grant of Grange Park mobile home site agreements at market value.

4. Authority to make comments on relevant planning applications, as provided for in Appendix 1 of Part II of Standing Orders (Proceedings and Business of the Cabinet and Committees) (see Part 4 - Council and Committee Procedure Rules).

5. The making of minor changes to the Asset Management Strategy document that do not involve policy and/or financial considerations.

LOCAL OPERATIONS MANAGER

1. The Local Operations Manager shall have delegated authority for the following, in conjunction with as necessary, the relevant Functional Lead as above to carry out a range of tasks such as:

   • Site inspections from public land or where invited onto private land
   • Posting site notices and serving notices
   • Initial investigations and assessments, evidence gathering and preliminary interviews
   • Monitoring and compliance – contracts, repairs and assets
   • Customer visits
   • Enforcement visits
   • Liaison role

2. And to be given authority to authorise and determine which powers under the relevant legislation are to be exercised by particular authorised officers.
3. The exercise of power of entry, sampling and inspection given by any relevant legislation, including the authority to authorise anyone to effect such entry etc, subject to compliance with the limits of any enactment.

4. The cancellation, as appropriate, of penalty charge notices which have been issued on or off the streets under the Road Traffic Act 1991/ Traffic Management Act 2004.

5. To register any unpaid parking fines from the implementation of the Road Traffic Act 1991/ Traffic Management Act 2004 with the Traffic Enforcement Centre, so as to enforce the charge by requesting a warrant, and, on issue of that, to employ certificated enforcement agents (bailiffs) to execute the warrant.

6. The service of Hedgerow Retention Notices.

RETURNING OFFICER

1. The selection of premises as polling stations.

   *In consultation with Group Leaders and relevant Ward Members.*